

13 Rec'd PCT

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FORM PTO-1390 (REV. 6-87)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 0652.1080000/RWE	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)					
INTERNATIONAL APPLICATION NO. PCT/EP 91/00875		INTERNATIONAL FILING DATE 10 MAY 1991		PRIORITY DATE CLAIMED 18 MAY 1990	
TITLE OF INVENTION NEW PROTEIN-POLYCATION CONJUGATES					
APPLICANT(S) FOR DO/EO/US BIRNSTIEL, Max L.; COTTEN, Matthew; WAGNER, Ernst					
Applicant herewith submits to the United States Designated/ Elected Office (DO/EO/US) the following items under 35 U.S.C. 371:					
1. <input type="checkbox"/> This express request to immediately begin national examination procedures (35 U.S.C. 371(n)).					
2. <input checked="" type="checkbox"/> The U.S. National Fee (35 U.S.C. 371(c)(1)) and other fees as follows:					
CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS		46 -20-	26	X\$ 22	\$ 572.00
INDEPENDENT CLAIMS		2 -3-	-0-	X 74	-0-
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ 230	230.00
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(4)):					
<input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) \$ 640					
<input type="checkbox"/> No international preliminary examination fee paid to USPTO (37 CFR 1.482 but international search fee paid to USPTO (37 CFR 1.445 (a)(2))) \$ 710					
<input checked="" type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO. \$ 950					950.00
<input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2) to (4) \$ 90					
Surcharge of \$130 for furnishing the National fee or oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 mos. from the earliest claimed priority date (37 CFR 1.492(e)) \$130					n/a
TOTAL OF ABOVE CALCULATIONS					-\$1,752.00
Reduction by 1/2 for filing by small entity, if applicable. Affidavit must be filed also. (Note 37 CFR 1.9, 1.27, 1.28.)					n/a
SUBTOTAL					+\$1,752.00
Processing fee of \$130 for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 mos. from the earliest claimed priority date (37 CFR 1.492(f)) \$130					
TOTAL NATIONAL FEE					\$ 1,752.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). \$40					+ 40.00
TOTAL FEES ENCLOSED					\$ 1,792.00
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$ 1792 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0036. A duplicate copy of this sheet is enclosed.</p>					

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3. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
- ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
  - ☐ has been transmitted by the International Bureau.
4. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
5. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
- ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - ☐ have been transmitted by the International Bureau.
6. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
7. ☒ An oath or declaration of the inventor (35 U.S.C. 371(c)(4)).
8. ☐ A translation of the Annexes to the International Preliminary Examination Report under PCT Article 36(35 U.S.C. 371(c)(5)).

Other document(s) or information included:

9. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. Preliminary Amendment and
10. ☒ An assignment document for recording. Power of Attorney from Assignee.
- Please mail the recorded assignment document to:
- ☒ the person whose signature, name & address appears at the bottom of this page.
  - ☐ the following:

11. The above checked items are being transmitted

- ☐ before the 18th month publication.
  - ☐ after publication and the Article 20 communication but before 20 months from the priority date.
  - ☐ after 20 months but before 22 months (surcharge and/or processing fee included).
  - ☐ after 22 months (surcharge and/or processing fee included).
- Note: Petition to revive (37 CFR 1.137(a) or (b)) is necessary if 35 U.S.C. 371 requirements submitted after 22 months and no proper demand for International Preliminary Examination was made by 19 months from the earliest claimed priority date.
- ☒ by 30 months and a proper demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
  - ☐ after 30 months but before 32 months and a proper demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date (surcharge and/or processing fee included).
  - ☐ after 32 months (surcharge and/or processing fee included).
- Note: Petition to revive (37 CFR 1.137(a) or (b)) is necessary if 35 U.S.C. 371 requirements submitted after 32 months and a proper demand for International Preliminary Examination was made by 19 months from the earliest claimed priority date.

12. At the time of transmittal, the time limit for amending claims under Article 19

- ☒ has expired and no amendments were made.
- ☐ has not yet expired.

13. ☐ Certain requirements under 35 U.S.C. 371 were previously submitted by the applicant on \_\_\_\_\_ date, namely:

STERNE, KESSLER, GOEDSTEIN & FOX

NAME

1225 Connecticut Avenue, NW, #300

ADDRESS

Washington, DC 20036

SIGNATURE

Robert W. Esmond

32,893

REGISTRATION NUMBER

Nov. 9, 1992

Date